

Vendor AI Assurance Packet Template

Prepared by 7DEA.AI — Ethical Risk, AI Governance, Audit ■ Defensibility

A. Organizational Controls

- Description of AI governance framework.
- Ethical principles and decision standards applied.
- Training and oversight mechanisms.

B. System ■ Level Controls

- System purpose, limitations, and intended use.
- Risk mitigation controls implemented.
- Testing, monitoring, and validation processes.

C. Data & Privacy Controls

- Data minimization and access controls.
- Consumer rights handling processes.
- Third ■ party and subprocessors oversight.

D. Incident & Disclosure Commitments

- Incident detection and reporting timelines.
- Customer and regulator notification practices.
- Corrective action and remediation commitments.

E. Attestations & Sign ■ Off

- Executive accountability statement.
- Accuracy and completeness attestation.
- Authorized signatory and date.